## Criteria for quality assessment and accreditation of a study program

National Agency for Quality Assurance in Higher Education of Ukraine

## Criteria and essentials of program accreditation

The following criteria are for use during the self-assessment process of a degree program (or a group of degree programs in related subject areas) as well as the external assessment process by the National Agency for Quality Assurance in Higher Education of Ukraine external expert panels.

Self and external assessment should provide evidence of the sustainable management and continuous enhancement of higher education degree program in accordance with social demands and requirements.

The main functions of criteria for program accreditation are:

- to provide guidance for the responsible units within a higher education institution in developing and updating its educational offer in line with the overarching goals of the institution.
- to support the institution in carrying out a self-reflective internal assessment process of its degree programs and their respective objectives as well as the processes for their achievement
- to provide an assessment scheme for the external evaluators during the program accreditation procedure

## The criteria set below acknowledges the fact that program accreditation is voluntary.

The following table contains three columns providing:

- the criteria themselves. The criteria are statements of quality expectations in the form of minimum standards that an institution is expected to fulfil to receive full accreditation.
- the analytic questions. The questions are intended to help institutions and external evaluators interpret the criteria, and to help institutions reflect about areas and thematic issues supporting the fulfilment of the criteria. The analytic questions are not intended as a checklist or tick box.
- the list of possible evidence. The list contains examples of pieces of documents, policies, reports, etc. which may be in existence in the institution. The actual evidence will differ for each programme and may include less or other documents than those listed as examples.

Suggested Criteria - ASIIN	Guiding Questions	Possible evidence
1. Eligibility for program accreditation		
All HEI's seeking program accreditation have a state license for educational activities	Is the HEI applying for program accreditation a legal entity of Higher Education according to the law of Ukraine?	Proof of State License
2. Creation of programs and formulation of qualification	Guiding Questions	Possible evidence
profile		
<ul> <li>Relevant stakeholders were involved in the initiation and further development of the objectives and learning outcomes of a degree program.</li> <li>The envisaged qualification profile enables students to take up an occupation which corresponds to their qualification and meets the level of the National qualification framework with all its descriptors.</li> <li>The objectives and learning outcomes of the programs are described in a competence-oriented and concise way reflecting the state standards for this educational field and professional academic level aimed for. The HEI outlines the distinguishing features of the program in comparison to similar programs.</li> <li>The HEI identified a need for graduates with the respective qualification profile and defined the target group of the program (regional, national, international). The learning outcomes are aligned to the needs of the target group.</li> </ul>	<ul> <li>How has the intended qualification profile of the degree program been developed (regarding launch of the process, procedure, participants)?</li> <li>Have all relevant stakeholders been involved?</li> <li>Have the comments from the different stakeholder groups (teachers, students, employers) been taken into consideration?</li> <li>What processes are in place to reflect on the appropriateness of the qualification profile? How is the program being revised or further developed?</li> <li>What are the distinguishing features of the program in comparison to similar programs?</li> <li>Does the intended qualification profile comply with the qualification criteria of the profession?</li> <li>Who is the target group of the program and do the learning outcomes take the specific needs of the target groups into consideration?</li> </ul>	<ul> <li>Alumni surveys</li> <li>Employer Survey</li> <li>Website</li> <li>Diploma Supplement</li> <li>Official documents containing learning objectives</li> <li>Other documents giving evidence of the involvement of stakeholder groups into the development or revision of programs</li> </ul>
3. Curriculum, structure / work load and mobility		
<ul> <li>The curriculum is designed to enable the students to achieve the objectives and intended learning outcomes of the program.</li> <li>Each degree program must be divided into courses / modules. It is clear which knowledge, skills and competences students will acquire in each course / module.</li> </ul>	<ul> <li>Has the program a clear and plausible structure? Do the modules build on one another?</li> <li>Is the program divided into different courses that form a sum of learning and teaching?</li> <li>Does the curriculum contain aspects of technical and non-technical competences?</li> </ul>	<ul> <li>Course / Module descriptions (published) indicating learning objectives, credit points and work load</li> <li>Objective-Module matrix, showing how each course contributes to the achievement of the learning</li> </ul>

Suggested Criteria - ASIIN	Guiding Questions	Possible evidence
<ul> <li>It is evident how each course / module contributes to the achievement of both the qualification level and the overall intended learning outcomes.</li> </ul>	<ul> <li>Is it plausible how the program objectives and intended learning outcomes are substantiated in the modules?</li> <li>Is the workload realistic, can the study program be</li> </ul>	<ul><li>objectives</li><li>Data about average study duration of students</li></ul>
<ul> <li>The curriculum is structured in a way to allow students to complete the degree within the regular program duration.</li> <li>A credit point system oriented on the amount of work</li> </ul>	<ul> <li>carried out in the regular study duration?</li> <li>Is a credit point system in place considering contact time and time for self-study?</li> <li>Do students have the possibility to take elective</li> </ul>	<ul> <li>Curriculum indicating possible fields of specialization and timeframe for mobility</li> </ul>
required from students has been devised containing contact hours and time for self-study.  • The structure allows students to define an individual	<ul><li>course? Do the elective courses enable students to develop an individual focus?</li><li>Are there possibilities for international mobility? Is this</li></ul>	
<ul> <li>focus and course of study (e.g. field of specialty).</li> <li>The curriculum allows students to participate in international mobility (study / internship) without</li> </ul>	supported by the HEI and does the curriculum offer a timeframe where mobility can take place without prolongation of the study time?	
extending the regular study duration.  4. Admission Requirements		
<ul> <li>The admission requirements and procedures are formally defined, transparent and the same for all applicants.</li> <li>The admission requirements are defined in a way to enable the students to achieve the intended learning outcomes.</li> <li>It must be clear how students can compensate the lack of knowledge or competences without compromising the academic level of the program.</li> <li>There are clear rules and procedures for student enrollment defined.</li> <li>There are rules in place for recognizing achievements and competences acquired at other (national or international) HEIs.</li> </ul>	<ul> <li>Are the admission requirements clearly defined and transparently available?</li> <li>Are all applicants treated equally? Do all students meeting the criteria have a chance of being admitted? What are the selection criteria?</li> <li>Do the admission requirements ensure that all applicants have the necessary academic background to be able to study the program successfully?</li> <li>How can students compensate a lack of competences?</li> <li>Are there clear and transparent rules of student enrollment?</li> <li>How are academic achievements obtained at other HEI recognized? Is this a fair and transparent procedure? Does it meet the Criteria of the Lisbon Treaty?</li> </ul>	<ul> <li>Rules and regulations dealing with admission of students, providing also information about compensational measures in case of lack of competences</li> <li>Rules of student enrollment</li> <li>Rules for the recognition of competences obtained at other (national and international) HEI</li> </ul>
5. Teaching and Learning / Support		
The methods of teaching support the achievement of	What kind of teaching methods are being applied? Do	Course-module descriptions

Suggested Criteria - ASIIN	Guiding Questions	Possible evidence
<ul> <li>the intended learning outcomes.</li> <li>The teaching and learning approaches take contact time and time for self-study into consideration.</li> <li>The didactical methods also include independent academic work and writing.</li> <li>The HEI provides advice, guidance and support services (technical and non-technical) that foster the achievement of the intended learning outcomes and the selection of the elective courses.</li> <li>The HEI has a conflict management in place to help all concerned parties to conciliate conflicts.</li> </ul>	<ul> <li>the teaching methods support the achievement of the intended learning outcomes?</li> <li>Do the teaching methods also provide time for self-study and independent work?</li> <li>What kind of general advisory and support services are in place?</li> <li>What kind of course specific support services are being provided?</li> <li>Do students have the chance to apply for additional support services if need arises?</li> <li>Does the HEI provide academic guidance for students with regards to academic development and the choice of specialties?</li> <li>How does the HEI deal with conflicts? Are there clear rules and procedures for conflict resolution in place?</li> <li>How are conflicts being settled practically?</li> </ul>	<ul> <li>Other documents providing evidence of the teaching methods applied</li> <li>Website providing information on general advisory services / professional advisory services for the respective program</li> <li>Conflict management policy</li> </ul>
6. Examinations		
<ul> <li>Examinations are designed in a way to measure to which extent students have reached the learning outcomes (knowledge, skills and competences) for each individual course.</li> <li>Different forms of examinations are being applied to cover all competences aimed for (e.g. oral and writing competences).</li> <li>The degree program comprises a final project / thesis which demonstrate that students are able to work on a set task independently and at the level aimed for. The university has processes in place to prevent plagiarism and unethical behavior.</li> <li>The examination schedule is made known to relevant stakeholders transparently and in due time.</li> <li>The number and distribution of the examinations are organized in a way that both the exam load and</li> </ul>	<ul> <li>How are examinations being organized? Are they announced in a timely and transparent manner to students? Can students voice out queries if examinations clash or if there is insufficient preparation time?</li> <li>Is the number of examinations manageable without excessive failure rates?</li> <li>Are the examinations designed in a way to appropriately assess the competences achieved by students?</li> <li>Does the program contain a final thesis that shows that students can work on an academic task independently? Is the thesis of adequate standard, using up-to-date literature?</li> <li>How does the university prevent plagiarism and other kinds of unethical behavior?</li> </ul>	<ul> <li>Examination time schedule</li> <li>Examinations of different courses</li> <li>Final Theses</li> <li>Policy on plagiarism and unethical academic behavior</li> <li>Failure rates of examinations</li> <li>Rules for re-sits, cheating and compensational measures for students with disabilities</li> <li>Rules providing information on correction time of examinations and final theses</li> <li>Marking criteria</li> </ul>

Suggested Criteria - ASIIN	Guiding Questions	Possible evidence
<ul> <li>Preparation times are adequate.</li> <li>All students are aware of the details of what is required in order to pass a course / module (pre-examination, assignments etc.) no later than at the start of the course / module.</li> <li>Rules for re-sits, cheating, disability compensation measures, illness and other mitigating circumstances etc. are defined.</li> <li>All exams are organized in a way which avoids delays to student progression caused by deadlines, exam correction times, re-sits etc.</li> <li>All exams are marked using transparent criteria. There are mechanisms in place which ensure that exams marked by different examiners are comparable.</li> </ul>	<ul> <li>Is all relevant course information being provided in a transparent and timely manner?</li> <li>Are there rules for re-sits, cheating and compensational measures for students with disabilities?</li> <li>Does the correction time of cause any delays in student progression?</li> <li>What kinds of marking criteria are in place? Are they transparent and plausible? Is it secured that all students are treated equally and fairly?</li> <li>How is it ascertained that examinations and marks are comparable between different lecturers teaching the same subject?</li> </ul>	
7. Human Resources		
<ul> <li>The composition, scientific orientation and qualification (academic and professional) of the teaching and administrational staff are adequate for successful program implementation.</li> <li>There are sufficient staff members for fulfilling all teaching obligations, providing assistance and advice to students and to carry out all administrative tasks for the full duration of accreditation.</li> <li>There must be rules to safeguard the qualification of external / international teachers or practitioners (outside of the HEI).</li> <li>There are procedures in place how teachers harmonize content of their courses and avoid overlap.</li> </ul>	<ul> <li>Do the staff members have adequate proficiency / academic credentials to teach the courses in an appropriate professional and academic standard?</li> <li>Is there sufficient staff (academic, technical, administrational) available to successfully implement the program without structural overload (including advisory services)?</li> <li>Are any staff members close to pension age? What are the plans for the succession?</li> <li>How are external lecturers being selected and what kind of contribution do they provide for the implementation of the program?</li> <li>Is there any kind of procedure in place to harmonize the content of courses / modules?</li> <li>Is overlap between different courses being avoided?</li> </ul>	<ul> <li>Staff handbook presenting short         CVs of all staff members including         external lecturers</li> <li>Rules showing how external         lecturers are selected (meeting the         necessary academic standard</li> <li>Overview of teaching obligations of         staff members and teaching that is         required to implement the program</li> <li>Figures on student staff ratio</li> </ul>

Suggested Criteria - ASIIN	Guiding Questions	Possible evidence
8. Material Resources		
<ul> <li>The infrastructure and equipment are appropriate in quantity and quality to implement the degree program successfully.</li> <li>Teachers and students have access to relevant up-to-date publications particularly in English as well as peer review journals</li> <li>The HEI makes available all necessary resources (e.g. hardcopies, electronic editions or e-journals etc.) to the students to enable them to successfully pass the courses / modules.</li> </ul>	<ul> <li>Is the infrastructure and technical equipment appropriate to achieve the academic and professional learning outcomes?</li> <li>Is the general academic infrastructure (access to relevant up-to-date literature, computer labs, etc.) available in sufficient quality and quantity?</li> <li>Is there an adequate learning environment (learning space, rooms for group work, etc) in place?</li> </ul>	<ul> <li>List of course relevant equipment</li> <li>Course / Module descriptions</li> </ul>
9. Financial Resources		
The HEI has appropriate financial resources for the full duration of the accreditation to successfully implement the degree program.	<ul> <li>Are the financial resources sufficient to implement the program successfully?</li> <li>Are the financial resources secured for the entire accreditation period?</li> </ul>	Overview of financial budget and prospective financial recourses
10. Quality Management		
<ul> <li>The HEI has official rules in place defining all quality assurance procedures and responsibilities.</li> <li>The HEI applies methods suitable for the purpose, identifying weaknesses and improving the degree program.</li> <li>For each program quality assurance procedures including different stakeholder groups (e.g. students, lecturers, graduates/alumni, and potential employers) are being carried out regularly aiming at continuous improvement.</li> <li>The outcomes are brought to the attention of relevant stakeholders.</li> <li>The feedback loops are plausible and the measures derived are made known to anyone involved.</li> </ul>	<ul> <li>Does the HEI have a quality management policy in place?</li> <li>What kind of quality assurance procedures does the HEI have in place? Do the procedures take different stakeholder groups like students, alumni, teachers, professional partners, employers, and graduates onboard?</li> <li>Are the results being analyzed systematically and made available to relevant stakeholder groups?</li> <li>What kinds of feedback mechanisms are in place to use the data for critical self-reflection?</li> <li>What kind of concrete measures have been derived from the evaluation results?</li> </ul>	<ul> <li>Quality assurance policy</li> <li>Quality assurance tools like questionnaires for course evaluation, alumni, graduates, professional partners, employers</li> <li>Results of evaluation procedures</li> <li>Documentation about measures derived from quality assurance data</li> </ul>

Suggested Criteria - ASIIN	<b>Guiding Questions</b>	Possible evidence
11. Transparency / Documentation		
<ul> <li>The HEI developed rules and regulations defining all rights and duties of the HEI and the students. These rules are available to all relevant stakeholders.</li> <li>Program relevant information (including its distinctive features and organizational peculiarities) is available to relevant stakeholders</li> <li>The HEI provides course / module descriptions containing information about the objectives and intended learning outcomes of the course / module, the literature (textbooks, manuals, monographs, peerreview journals etc.), the teaching method and the examination assessing the achievement of the intended learning outcome.</li> </ul>	<ul> <li>Are all kinds of relevant rules and regulations defined covering all aspects of the student life cycle? Are they published and transparently available to all relevant stakeholders?</li> <li>Are there mechanisms in place to review and up-date them regularly?</li> <li>Is all program relevant information being made available to interested stakeholders?</li> <li>Do the course / module descriptions contain all relevant information about course objectives, learning outcomes, teaching methods, required literature, examinations etc.?</li> </ul>	<ul> <li>Rules and regulations covering all aspects of the students life cycle</li> <li>Course / module descriptions</li> </ul>